



303 Rec'd PCT/A 06 OCT 1998

PCT

Patent  
Attorney's Docket No. 012627-007

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )

Gunther SCHÜTZ et al )

Application No.: 09/117,810 )  
(Corresponds to PCT/DE97/00245) )

International Filing Date: 10 February 1997 )

For: SPERMATOGENESIS CONTROL )

Group Art Unit: Unassigned

Examiner: Unassigned

*refund*

FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
FEE	VALUE
CODE	DATE
970	8465

**TRANSMITTAL OF VERIFIED STATEMENT CLAIMING  
SMALL ENTITY STATUS - NONPROFIT ORGANIZATION  
AND  
REQUEST FOR REFUND**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

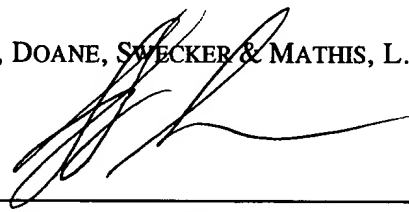
Transmitted herewith is a Verified Statement (Declaration) Claiming Small Entity Status, duly executed by the assignee of the above-identified patent application.

It is respectfully requested that one-half of the original filing fee filed on August 10, 1998, in the amount of \$465.00, be refunded to Applicant and deposited in Deposit Account No. 02-4800.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: October 6, 1998

By:   
Teresa Stanek Rea  
Registration No. 30,427

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Patent  
Attorney's Docket No. 012827-007

Applicant or Patentee: Gunther SCHUTZ et al

Application or Patent No.: 09/117,810

Filed or Issued: \_\_\_\_\_

For: SPERMATOGENESIS CONTROL

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 C.F.R. §§ 1.9(f) AND 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION DEUTSCHES KREBSFORSCHUNGSZENTRUM

STIFTUNG DES OFFENTLICHEN RECHTS

ADDRESS OF ORGANIZATION Im Neuenheimer Feld 280, D-69120 Heidelberg, Germany

**TYPE OF ORGANIZATION**

- ☐ University or other institution of higher education  
☐ Tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3))  
☐ Nonprofit scientific or educational under statute of state of The United States of America  
(Name of state \_\_\_\_\_)  
(Citation of statute \_\_\_\_\_)  
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3)) if located in The United States of America  
☐ Would qualify as nonprofit scientific or educational under statute of The United States of America if located in The United States of America  
(Name of state \_\_\_\_\_)  
(Citation of statute \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) for purposes of paying reduced fees under Sections 41(a) and 41(b) of Title 35, United States Code, with regard to the invention entitled by inventor(s) Gunther SCHUTZ; Julie BLENDY; Klaus KASTNER; Gerhard WEINBAUER; Eberhard NIESCHLAG described in

- ☐ the specification filed herewith  
☒ Application No. 09/117,810, filed \_\_\_\_\_  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the above-identified nonprofit organization are not exclusive, each individual, concern, or organization having rights to the invention is listed below,\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an individual inventor under 37 C.F.R. § 1.9(c), or by any concern that would not qualify as either a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

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\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 C.F.R. § 1.27.)

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ individual ☐ small business concern ☐ nonprofit organization

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ individual ☐ small business concern ☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earlier of the issue fee and any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b).)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code; and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Prof. Dr. med. Dr. h. c. mult. H. zur Hausen Dr. rer. pol. J. Buchta

TITLE IN ORGANIZATION Chairman a. Scient. Member of the Board Adm. Member of the Board

ADDRESS OF PERSON SIGNING Eichenstraße 1 Eichenweg 1  
69483 Wald-Michelbach 69198 Schriesheim

SIGNATURE  DATE September 28, 1999